

MEASURES ASSESSMENT TOOL (MAT)

Tag	Condition/Standard	Measure	Values	Reference	Source
494.40 Water and dialysate quality:					
V196	Water quality	Max. chloramine (must determine)	≤0.1 mg/L daily/shift	AAMI RD52	Records
V196	Use max. chloramine value if only one test is performed	Max. total chlorine (may determine)	≤0.5 mg/L daily/shift		
V178		Action / Max. bacteria – product water / dialysate	50 CFU/mL / <200 CFU/mL		
V180		Action / Max. endotoxin – product water / dialysate	1 EU/mL / <2 EU/mL (endotoxin units)		
494.50 Reuse of hemodialyzers and blood lines (only applies to facilities that reuse dialyzers &/or bloodlines)					
V336	Dialyzer effectiveness	Total cell volume (hollow fiber dialyzers)	Measure original volume Discard if after reuse <80% of original	KDOQI HD Adequacy 2006; AAMI RD47	Records Interview
494.80 Patient assessment: The interdisciplinary team (IDT), patient/designee, RN, MSW, RD, physician must provide each patient with an individualized & comprehensive assessment of needs					
V502	- Health status/comorbidities	- Medical/nursing history, physical exam findings	Refer to Plan of care & QAPI sections (below) for values	Conditions for Coverage KDOQI Guidelines (see POC)	Chart
V503	- Dialysis prescription	- Evaluate: HD every mo; PD first mo & q 4 mo			
V504	- BP & fluid management	- Interdialytic BP & wt gain, target wt, symptoms			
V505	- Lab profile	- Monitor labs monthly & as needed			
V506	- Immunization & meds history	- Pneumococcal, hepatitis, influenza; med allergies			
V507	- Anemia (Hgb, Hct, iron stores, ESA need)	- Volume, bleeding, infection, ESA hypo-response			
V508	- Renal bone disease	- Calcium, phosphorus, PTH & medications			
V509	- Nutritional status	- Multiple elements listed			
V510	- Psychosocial needs	- Multiple elements listed			
V511	- Dialysis access type & maintenance	- Access efficacy, fistula candidacy			
V512	- Abilities, interests, preferences, goals, desired participation in care, preferred modality & setting, expectations for outcomes	- Reason why patient does not participate in care, reason why patient is not a home dialysis candidate			
V513	- Suitability for transplant referral	- Reason why patient is not a transplant candidate			
V514	- Family & other support systems	- Composition, history, availability, level of support			
V515	- Current physical activity level & referral to vocational & physical rehabilitation	- Abilities & barriers to independent living; achieving physical activity, education & work goals			
494.90 Plan of care The IDT must develop & implement a written, individualized comprehensive plan of care that specifies the services necessary to address the patient's needs as identified by the comprehensive assessment & changes in the patient's condition, & must include measurable & expected outcomes & estimated timetables to achieve outcomes. Outcome goals must be consistent with current professionally accepted clinical practice standards.					
V543	(1) Dose of dialysis/volume status Monitor each treatment	Management of volume status	Euvoletic & BP 130/80 (adult); lower of 90% of normal for age/ht/wt or 130/80 (pediatric)	KDOQI HD Adequacy 2006 KDOQI Hypertension 2004	Chart
V544	(1) Dose of dialysis (HD adequacy) Monitor adequacy monthly	Adult HD <5 hours 3x/week, minimum spKt/V Adult HD 2x/week, RKF <2 mL/min. HD 4-6x/week, minimum spKt/V	≥1.2; Min. 3 hours/tx if RKF <2ml/min Inadequate treatment frequency ≥2.0/week	KDOQI HD Adequacy 2006	DFR
V544	(1) Dose of dialysis (PD adequacy – adult) Monitor 1 st month & every 4 months	Minimum delivered Kt/V _{urea}	≥1.7/week	KDOQI PD Adequacy 2006	Chart
V544	(1) Dose of dialysis (PD adequacy – pediatric) Monitor 1 st month & every 6 months	Minimum delivered Kt/V _{urea}	≥1.8/week	KDOQI PD Adequacy 2006	Chart
V545	(2) Nutritional status - Monitor albumin & body weight monthly; other parameters at V509, monitor as needed for impact on nutrition	Albumin Body weight & other parameters listed at V509	≥4.0 g/dL BCG preferred; if BCP: lab normal % usual wt, % standard wt, BMI, est. % body fat	KDOQI Nutrition 2000 KDOQI CKD 2002	Chart
V546	(2) Nutritional status (pediatric) monitor monthly	Length/height, weight, BMI, nPCR	Normalized nPCR for HD teen, nPCR N/A younger	KDOQI Pediatric Nutrition 2008	Chart
V546	(3) Mineral metabolism & renal bone disease Monitor calcium & phosphorus monthly Monitor intact PTH every 3 months	Calcium corrected for albumin (BCG) Phosphorus Intact PTH	Normal for lab; preferred upper level <10/ mg/dL All: 3.5-5.5 mg/dL Adult: 150-300 pg/mL; Pediatric: 200-300 pg/mL	KDOQI Bone Metabolism & Disease 2003	Chart
V547	(4) Anemia – Hgb non-ESA - monitor monthly	Hemoglobin (Adult & pediatric)	>10.0 g/dL	KDOQI Anemia 2006	DFR
V547	(4) Anemia – Hgb on ESA – monitor monthly	Hemoglobin (Adult & pediatric)	<12.0 g/dL ¹	¹ =FDA "box" warning	DFR
V548		Hemoglobin (Adult & pediatric)	10-12.0 g/dL ²	² =Medicare reimbursement	
		Hemoglobin (Adult & pediatric)	11-12.0 g/dL, ≤13.0 g/dL ³	³ =KDOQI Anemia CKD 2007	
V549	(4) Anemia - Monitor iron stores routinely	Adult & pediatric: transferrin saturation Adult & pediatric: serum ferritin	>20% (HD, PD), or CHR >29 pg/cell HD: >200 ng/mL; PD: >100 ng/mL HD/PD: <500 ng/mL or evaluate if indicated	KDOQI Anemia 2006	DFR

Sources: DFR=Dialysis Facility Reports; CW=CROWNWeb; Chart=Patient Chart; Records=Facility Records; Interview=Patient/Staff Interview

Abbreviations: BCG/BCP=bromocresol green/purple BMI=Body mass index; CAHPS=Consumer Assessment of Healthcare Providers & Services; CFU=colony forming units; CHR=reticulocyte hemoglobin; DOPPS=Dialysis Outcomes & Practice Patterns Study; ESA=erythropoiesis stimulating agent; nPCR=normalized protein catabolic rate; RKF=residual kidney function; spKt/V=single pool Kt/V

MEASURES ASSESSMENT TOOL (MAT)

Tag	Condition/Standard	Measure	Values	Reference	Source
V550 V551	(5) Vascular access	Fistula Graft Central Venous Catheter	Preferred ^{4,5} Acceptable if fistula not possible ^{4,5} Avoid, unless bridge to fistula/graft or to PD, if transplant soon, or in small adult/peds pt ⁴	⁴ =KDOQI Vascular Access 2006 ⁵ =Fistula First	DFR Interview CW
V552	(6) Psychosocial status	Survey physical & mental functioning annually KDQOL-36 survey annually or more often as needed	Achieve & sustain (case-mix adjusted) scores of average or above, with no declines of ≥ 10 points.	Conditions for Coverage CMS CPM 4/1/08; DOPPS	Chart Interview
V553 V554	(7) Modality	Home dialysis referral Transplantation referral	Candidacy or reason for non-referral	Conditions for Coverage	Chart Interview
V555	(8) Rehabilitation status	Productive activity desired by patient Pediatric: formal education needs met Vocational & physical rehab referrals as indicated	Achieve & sustain appropriate level, unspecified	Conditions for Coverage	Chart Interview
V562	(d) Patient education & training	Dialysis experience, treatment options, self-care, QOL, infection prevention, rehabilitation	Documentation of education in record	Conditions for Coverage CMS CPM 4/1/2008	Records Interview
<p>494.110 Quality assessment & performance improvement (QAPI): The dialysis facility must develop, implement, maintain, & evaluate an effective, data-driven QAPI program with participation by the professional members of the IDT. The program must reflect the complexity of the organization & services (including those under arrangement), & must focus on indicators related to improved health outcomes & the prevention & reduction of medical errors. The dialysis facility must maintain & demonstrate evidence of its QAPI program including continuous monitoring for CMS review. Refer to your ESRD Network's goals for targets for aggregate patient outcomes.</p>					
V627	Health outcomes: Physical & mental functioning	Survey adult/pediatric patients KDQOL-36 survey annually or more often as needed	Achieve & sustain appropriate status \uparrow % completing survey	Conditions for Coverage CMS CPM 4/1/2008	Records
V627	Health outcomes: Patient survival	Standardized mortality ratio (1.0 is average, >1.0 is worse than average, <1.0 is better than average)	\downarrow mortality	Conditions for Coverage CMS CPM 4/1/08	DFR
V629	(i) HD adequacy (monthly)	HD: Adult (patient with ESRD ≥ 3 mo)	\uparrow % with spKt/V ≥ 1.2 or URR $\geq 65\%$ if 3 times/week dialysis; spKt/V >2.0 if 5-6 times/week dialysis	Conditions for Coverage CMS CPM 4/1/2008 (all)	DFR Records
V629	(i) PD adequacy (rolling average, each patient tested ≤ 4 months)	PD: Adult	\uparrow % with weekly Kt/V _{urea} ≥ 1.7 (dialysis+RKF)	Conditions for Coverage CMS CPM 4/1/2008 (all)	DFR Records
V630	(ii) Nutritional status	Facility set goals; refer to parameters listed in V509	\uparrow % of patients within target range on albumin and other nutritional parameters set by the facility	Conditions for Coverage	Records
V631	(iii) Mineral metabolism/renal bone disease	Calcium, phosphorus, & PTH	\uparrow % in target range monthly	Conditions for Coverage CMS CPM 4/1/2008	Records
V632	(iv) Anemia management Patients taking ESAs &/or patients not taking ESAs	Mean hemoglobin (patient with ESRD ≥ 3 mo) Mean hematocrit Serum ferritin & transferrin saturation or CHR	\uparrow % with mean 10-12 g/dL \uparrow % with mean 30-36% Evaluate if indicated	Conditions for Coverage CMS CPM 4/1/2008 (all)	DFR Records
V633	(v) Vascular access (VA) Evaluation of VA problems, causes, solutions	Cuffed catheters > 90 days AV fistulas for dialysis using 2 needles Thrombosis episodes Infections per use-life of access VA patency	\downarrow to <10% ⁶ \uparrow to $\geq 65\%$ or $\geq 66\%$ ⁷ \downarrow to <0.25/pt-yr for fistulas; 0.50/pt-yr for (grafts) \downarrow to <1% (fistula); <10% (graft) \uparrow % with fistula >3 yrs & graft >2 yrs	⁶ =KDOQI 2006 ⁷ =Fistula First CMS CPM 4/1/2008	DFR Records CW 2/09
V634	(vi) Medical injuries & medical errors identification	Medical injuries & medical errors reporting	\downarrow frequency through prevention, early identification & root cause analysis	Conditions for Coverage	Records
V635	(vii) Reuse	Evaluation of reuse program including evaluation & reporting of adverse outcomes	\downarrow adverse outcomes	Conditions for Coverage	DFR Records
V636	(viii) Patient satisfaction & grievances	Report & analyze grievances for trends CAHPS In-Center Hemodialysis Survey or any patient satisfaction survey	Prompt resolution of patient grievances \uparrow % of patients satisfied with care	Conditions for Coverage CMS CPM 4/1/2008	Records Interview
V637	(ix) Infection control	Analyze & document incidence for baselines & trends	Minimize infections & transmission of same Promote immunizations	Conditions for Coverage	DFR Records
V637	Vaccinations	Hepatitis B, influenza, & pneumococcal vaccines Influenza vaccination by facility or other provider	Documentation of education in record \uparrow % of patients vaccinated on schedule \uparrow % of patients receiving flu shots 10/1-3/31	Conditions for Coverage CMS CPM 4/1/2008	Records

Sources: DFR=Dialysis Facility Reports; CW=CROWNWeb; Chart=Patient Chart; Records=Facility Records; Interview=Patient/Staff Interview

Abbreviations: BCG/BCP=bromocresol green/purple BMI=Body mass index; CAHPS=Consumer Assessment of Healthcare Providers & Services; CFU=colony forming units; CHR=reticulocyte hemoglobin; DOPPS=Dialysis Outcomes & Practice Patterns Study; ESA=erythropoiesis stimulating agent; nPCR=normalized protein catabolic rate; RKF=residual kidney function; spKt/V=single pool Kt/V